

Department of Defense

DIRECTIVE

NUMBER 3030.1 November 12, 2004

DA&M

SUBJECT: Office of Economic Adjustment (OEA)

References: (a) Sections 191 and 113 of title 10, United States Code

- (b) DoD Directive 3030.1, "Office of Economic Adjustment (OEA)," November 28, 2000 (hereby canceled)
- (c) Section 2391 of title 10, United States Code
- (d) Executive Order 12788, "Defense Economic Adjustment Programs," January 15, 1992
- (e) through (j), see enclosure 1

1. REISSUANCE AND PURPOSE

Pursuant to the authority vested in the Secretary of Defense by reference (a), this Directive reissues reference (b) to update the mission, responsibilities, functions, relationships, and authorities of the Office of Economic Adjustment (OEA).

2. APPLICABILITY

This Directive applies to the Office of the Secretary of Defense, the Military Departments (including their Reserve components), the Chairman of the Joint Chiefs of Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities in the Department of Defense (hereafter referred to collectively as the "DoD Components").

3. DEFINITIONS

- 3.1. <u>Economic Adjustment</u>. The responsibilities of the Secretary of Defense under 10 U.S.C. 2391 (reference (c)), Executive Order 12788 (reference (d)), and related responsibilities stated in DoD Directive 5410.12 (reference (e)).
- 3.2. <u>Joint Land Use Studies</u>. The studies conducted pursuant to the authorities of the Secretary of Defense to address issues of civilian encroachment affecting military installations pursuant to reference (c) and DoD Instruction 3030.3 (reference (f)).

4. MISSION

The OEA shall, in cooperation with the DoD Components, provide assistance to communities, regions, and States adversely impacted by significant defense program changes including base closures, realignments, or expansions; defense industry cutbacks; encroachment; and personnel reductions or increases.

5. ORGANIZATION AND MANAGEMENT

- 5.1. The OEA is a DoD Field Activity under the Under Secretary of Defense for Acquisition, Technology, and Logistics (USD(AT&L)), operating under the authority, direction, and control of the Deputy Under Secretary of Defense for Installations and Environment (DUSD(I&E)). The DUSD(I&E) reports directly to the USD(AT&L) regarding the OEA.
- 5.2. The OEA shall consist of a Director and such subordinate organizational elements as established by the Director within resources authorized by the Secretary of Defense.

6. RESPONSIBILITIES AND FUNCTIONS

- 6.1. The Under Secretary of Defense for Acquisition, Technology, and Logistics shall:
 - 6.1.1. Exercise authority, direction, and control over OEA through the DUSD(I&E).
- 6.1.2. Provide direction to the DUSD(I&E) in relation to the DUSD(I&E)'s management of OEA.
 - 6.1.3. Designate the Director, OEA.
- 6.1.4. Ensure the <u>Deputy Under Secretary of Defense for Installations and Environment</u> shall:
 - 6.1.4.1. Exercise authority, direction, and control over OEA.
 - 6.1.4.2. Report directly to the USD(AT&L) concerning matters relating to OEA.
 - 6.2. The Director, Office of Economic Adjustment shall:
 - 6.2.1. Organize, direct, and manage OEA and all resources assigned to OEA.
- 6.2.2. Serve as the principal adviser to the USD(AT&L) and DUSD(I&E) on those matters within the mission area of OEA.
- 6.2.3. Serve as the Executive Director of the President's Economic Adjustment Committee (EAC) pursuant to reference (d).

- 6.2.4. Design, establish, and manage the Defense Economic Adjustment Program for achieving the objectives and implementing the provisions of references (d) and (e).
- 6.2.5. Design, establish, and manage a Joint Land Use Studies Program for achieving the objectives and implementing the provisions of references (c) and (f).
- 6.2.6. Provide grants for economic adjustment, special impact assistance, and joint land use studies to eligible communities consistent with DoD Instruction 3030.2 (reference (g)).
- 6.2.7. Monitor program change activities of the DoD Components and, as required, assist in the evaluation of possible impacts and the identification of adjustment actions that may alleviate such impacts. Once identified, encourage local officials to initiate early economic adjustment planning on a contingency basis.
- 6.2.8. Provide information and advice to eligible entities regarding the Defense Economic Adjustment and Joint Land Use Studies Programs and the resources available to carry out the programs.
- 6.2.9. Assist State and local Governments with special impact assistance in expanding public service facilities for meeting requirements generated by major expansions or establishing new DoD installations.
- 6.2.10. Provide advice and technical assistance to Defense-dependent communities in efforts for diversifying their economic bases.
 - 6.2.11. Evaluate DoD economic adjustment program effectiveness.
 - 6.2.12. Develop, propose, and implement program improvements.
- 6.2.13. Ensure that OEA programs and activities are designed and managed to improve standards of performance, economy, and efficiency and demonstrate OEA's attention to the requirements of its organizational customers, both internal and external to the Department.
- 6.2.14. Perform such other economic adjustment and joint land use study functions as may be assigned by the USD(AT&L) or the DUSD(I&E).

7. <u>RELATIONSHIPS</u>

- 7.1. In the performance of assigned responsibilities and functions, the Director, OEA, shall:
 - 7.1.1. Report to the DUSD(I&E)).
- 7.1.2. Establish and maintain effective liaison with the DoD Components (and, after appropriate notification, with subordinate commands and installations, as required) for the timely exchange of information and the coordination of realignments, economic adjustment plans, and joint land use studies policies.

- 7.1.3. Establish and maintain effective liaison with members of the EAC, at headquarters and regional levels, for timely exchange of information and the development, coordination, and support of economic adjustment assistance plans and actions.
- 7.1.4. Use existing facilities and services in the Department of Defense and other governmental agencies, whenever practical, to avoid duplication and to achieve maximum efficiency and economy.
- 7.2. The Heads of the DoD Components shall provide timely information and technical support to the Director, OEA, on matters within their respective fields of responsibilities and as may be necessary to carry out effectively the assigned responsibilities and functions of OEA.

8. AUTHORITIES

The Director, OEA, is hereby delegated authority to:

- 8.1. Obtain reports and information, consistent with the policies and criteria of DoD Directive 8910.1 (reference (h)), as necessary, to carry out assigned functions.
- 8.2. Organize, direct, and manage a program to provide grants for community planning, special impact assistance, and economic adjustment studies to eligible communities consistent with the policies and criteria of reference (c).
- 8.3. Communicate directly with the Heads of the DoD Components, as necessary, to carry out assigned functions and responsibilities, including the transmission of requests for advice and assistance. Communications to the Military Departments shall be transmitted through the Secretaries of the Military Departments, their designees, or as otherwise provided by law or directed by the Secretary of Defense in other DoD issuances. Communications to the Commanders of the Combatant Commands, except in unusual circumstances, shall be transmitted through the Chairman of the Joint Chiefs of Staff.
- 8.4. Communicate with other Government officials, representatives of the Legislative Branch, state and local officers, members of the public, and representatives of foreign governments (when consistent with foreign disclosure policy and procedures), as appropriate, in carrying out assigned functions.
- 8.5. Provide advice, technical assistance, or other appropriate services to any Government Agency on a cost reimbursable basis in accordance with the procedures under DoD 7000.14-R (reference (i)).
 - 8.6. Exercise the administrative authorities contained in enclosure 2.

9. ADMINISTRATION

9.1. The Director, OEA, shall be designated by the USD(AT&L).

- 9.2. The OEA shall be authorized such personnel, facilities, funds, and other administrative support as the Secretary of Defense considers necessary.
- 9.3. The Secretaries of Military Departments shall assign military personnel to OEA in accordance with approved authorizations and established procedures for assignment.
- 9.4. Administrative support for OEA shall be provided by other DoD Components through support and interservice agreements in accordance with DoD Directive 4000.19 (reference (j)).

10. EFFECTIVE DATE

This Directive is effective immediately.

Paul Wolfowitz

Deputy Secretary of Defense

Enclosures - 2

E1. References, continued

E2. Delegations of Authority

E1. ENCLOSURE 1

REFERENCES, continued

- (e) DoD Directive 5410.12, "Economic Adjustment Assistance to Defense-Impacted Communities," December 22, 1987
- (f) DoD Instruction 3030.3, "Joint Land Use Study (JLUS) Program," July 6, 2004
- (g) DoD Instruction 3030.2, "Community Planning and Impact Assistance," May 24, 1983
- (h) DoD Directive 8910.1, "Management and Control of Information Requirements," June 11, 1993
- (i) DoD 7000.14-R, "Financial Management Regulation," all volumes, current edition
- (i) DoD Instruction 4000.19, "Interservice and Intragovernmental Support," August 9, 1995

E2. ENCLOSURE 2

DELEGATIONS OF AUTHORITIES

- E2.1.1. Pursuant to the authority vested in the Secretary of Defense, and subject to the authority, direction, and control of the USD(AT&L) and DUSD(I&E), and in accordance with DoD Directives and Instructions, the Director, OEA, or, in the absence of the Director, the person acting for the Director, is hereby delegated authority, as required in the administration and operation of OEA, to:
- E2.1.1.1. Enforce regulatory procedures in accordance with Executive Order 10450, "Security Requirements for Government Employment," April 27, 1953, Executive Order 12333, "United States Intelligence Activities," December 4, 1981, Executive Order 12968, "Access to Classified Information," August 2, 1995, and DoD Directive 5200.2, as appropriate:
 - E2.1.1.1.1. Designate any position in OEA as a "sensitive" position.
- E2.1.1.1.2. Authorize, in case of an emergency, the appointment of a person to a sensitive position in OEA for a limited time even though a full field investigation or other appropriate investigation, including the National Agency Check, has not been completed.
- E2.1.1.1.3. Initiate personnel security investigations and, if necessary, in the interest of national security, suspend a security clearance for personnel assigned, detailed to, or employed by OEA. Any actions under this paragraph shall be taken in accordance with DoD 5200.2-R.

E2.1.1.2. Authorize and approve:

- E2.1.1.2.1. Temporary duty travel for assigned or detailed OEA military personnel in accordance with the Joint Federal Travel Regulations, Volume 1, "Uniformed Service Members," current edition.
- E2.1.1.2.2. Travel for OEA civilian personnel in accordance with Joint Travel Regulations (JTR), Volume 2, "DoD Civilian Personnel," current edition.
- E2.1.1.2.3. Invitational travel to non-DoD employees whose consultative, advisory, or other highly specialized technical services are required in a capacity that is directly related to, or in connection with, OEA activities, in accordance with JTR, Volume 2.
- E2.1.1.2.4. Overtime work for OEA civilian personnel in accordance with Subchapter V of Chapter 55 of 5 U.S.C. and applicable Office of Personnel Management regulations.

- E2.1.1.3. Approve the expenditure of funds available for travel by assigned or detailed OEA military personnel for expenses incident to attending meetings of technical, scientific, professional, or other similar organizations when the approval of the Secretary of Defense, or a designee, is required by 37 U.S.C. 412, and 5 U.S.C. 4110 and 4111.
- E2.1.1.4. Develop, establish and maintain an active and continuing Records Management Program, pursuant to 44 U.S.C. 3102 and DoD Directive 5015.2.
- E2.1.1.5. Authorize the publication of advertisements, notices, or proposals in newspapers, magazines, or other public periodicals, as required for OEA administration and operation, consistent with 44 U.S.C. 3702.
- E2.1.1.6. Establish and maintain, for the functions assigned, an appropriate publications system for the promulgation of Directives, Instructions, publications, and reference documents, and changes thereto, pursuant to the policies and procedures prescribed in DoD 5025.1-M.
- E2.1.1.7. Enter into support and services agreements with the DoD Components or other Government Agencies, as required, for the effective performance of OEA responsibilities and functions.
- E2.1.1.8. Enter into and administer contracts directly or through a Military Department, a DoD contract administration services component, or other Government Department or Agency, as appropriate, for supplies, equipment, and services required to accomplish the mission of OEA.
- E2.1.2. The Director, OEA, may redelegate these authorities as appropriate, and in writing, except as otherwise indicated above or provided by law or regulation.